

Cantor Set and Its Properties

Zhixing Guo

University of California, Santa Barbara

April 23, 2014

Abstract

The Cantor set is a famous set first introduced by German mathematician Georg Cantor in 1883. It is simply a subset of the interval $[0, 1]$, but it has a number of remarkable and deep properties. We will first describe the construction and the formula of the Cantor ternary set, which is the most common modern construction, and then prove some interesting properties of the set.

Preliminaries

Definition: If A and B are sets, the *union* of A and B , written $A \cup B$, is the set of all objects that belong to either A or B or both. $A \cup B = \{x : x \in A \text{ or } x \in B\}$

Definition: If A and B are sets, the *intersection* of A and B , written $A \cap B$, is the set of all objects that belong to both A and B . $A \cap B = \{x : x \in A \text{ and } x \in B\}$

Preliminaries

Definition: If A and B are sets, the *union* of A and B , written $A \cup B$, is the set of all objects that belong to either A or B or both. $A \cup B = \{x : x \in A \text{ or } x \in B\}$

Definition: If A and B are sets, the *intersection* of A and B , written $A \cap B$, is the set of all objects that belong to both A and B . $A \cap B = \{x : x \in A \text{ and } x \in B\}$

Example:

Let $A = \{1, 2, 3\}$, $B = \{2, 3, 4\}$. Then

$$A \cap B = \{2, 3\}, A \cup B = \{1, 2, 3, 4\}$$

Definition: Let Λ be a set, and suppose for each $\lambda \in \Lambda$, a subset A_λ of a given set S is specified. The collection of sets A_λ is called an *indexed family* of subsets of S with Λ as the index set. We denote this by $\{A_\lambda\}_{\lambda \in \Lambda}$

Definition: If $\{A_\lambda\}_{\lambda \in \Lambda}$ is an indexed family of sets, define

$$\bigcap_{\lambda \in \Lambda} A_\lambda = \{x : x \in A_\lambda \text{ for all } \lambda \in \Lambda\}$$

$$\bigcup_{\lambda \in \Lambda} A_\lambda = \{x : x \in A_\lambda \text{ for some } \lambda \in \Lambda\}$$

Definition: Let Λ be a set, and suppose for each $\lambda \in \Lambda$, a subset A_λ of a given set S is specified. The collection of sets A_λ is called an *indexed family* of subsets of S with Λ as the index set. We denote this by $\{A_\lambda\}_{\lambda \in \Lambda}$

Definition: If $\{A_\lambda\}_{\lambda \in \Lambda}$ is an indexed family of sets, define

$$\bigcap_{\lambda \in \Lambda} A_\lambda = \{x : x \in A_\lambda \text{ for all } \lambda \in \Lambda\}$$

$$\bigcup_{\lambda \in \Lambda} A_\lambda = \{x : x \in A_\lambda \text{ for some } \lambda \in \Lambda\}$$

Example:

Let $A_n = \{n\}$ for each $n \in \mathbb{N}$. Then

$$\bigcap_{n=1}^{\infty} A_n = \emptyset$$

$$\bigcup_{n=1}^{\infty} A_n = \mathbb{N}$$

Construction

The Cantor ternary set is created by repeatedly deleting the open middle thirds of a set of line segments.

Construction

The Cantor ternary set is created by repeatedly deleting the open middle thirds of a set of line segments.

One starts by deleting the open middle third $\left(\frac{1}{3}, \frac{2}{3}\right)$ from the interval $[0, 1]$, leaving two line segments: $\left[0, \frac{1}{3}\right] \cup \left[\frac{2}{3}, 1\right]$.

Construction

The Cantor ternary set is created by repeatedly deleting the open middle thirds of a set of line segments.

One starts by deleting the open middle third $\left(\frac{1}{3}, \frac{2}{3}\right)$ from the interval $[0, 1]$, leaving two line segments: $\left[0, \frac{1}{3}\right] \cup \left[\frac{2}{3}, 1\right]$.

Next, the open middle third of each of these remaining segments is deleted, leaving four line segments:

$$\left[0, \frac{1}{9}\right] \cup \left[\frac{2}{9}, \frac{1}{3}\right] \cup \left[\frac{2}{3}, \frac{7}{9}\right] \cup \left[\frac{8}{9}, 1\right].$$

Construction

The Cantor ternary set is created by repeatedly deleting the open middle thirds of a set of line segments.

One starts by deleting the open middle third $\left(\frac{1}{3}, \frac{2}{3}\right)$ from the interval $[0, 1]$, leaving two line segments: $\left[0, \frac{1}{3}\right] \cup \left[\frac{2}{3}, 1\right]$.

Next, the open middle third of each of these remaining segments is deleted, leaving four line segments:

$$\left[0, \frac{1}{9}\right] \cup \left[\frac{2}{9}, \frac{1}{3}\right] \cup \left[\frac{2}{3}, \frac{7}{9}\right] \cup \left[\frac{8}{9}, 1\right].$$

This process is continued to infinity.

The picture below shows the first four steps of this process:

The picture below shows the first four steps of this process:



Formula

Consider the following set:

$$C = \bigcap_{n=1}^{\infty} \bigcap_{k=0}^{3^{n-1}-1} \left(\left[0, \frac{3k+1}{3^n} \right] \cup \left[\frac{3k+2}{3^n}, 1 \right] \right)$$

Formula

Consider the following set:

$$C = \bigcap_{n=1}^{\infty} \bigcap_{k=0}^{3^{n-1}-1} \left(\left[0, \frac{3k+1}{3^n} \right] \cup \left[\frac{3k+2}{3^n}, 1 \right] \right)$$

Does Cantor ternary set contain set C?

Formula

Consider the following set:

$$C = \bigcap_{n=1}^{\infty} \bigcap_{k=0}^{3^{n-1}-1} \left(\left[0, \frac{3k+1}{3^n} \right] \cup \left[\frac{3k+2}{3^n}, 1 \right] \right)$$

Does Cantor ternary set contain set C? **Yes.**

Formula

Consider the following set:

$$C = \bigcap_{n=1}^{\infty} \bigcap_{k=0}^{3^{n-1}-1} \left(\left[0, \frac{3k+1}{3^n} \right] \cup \left[\frac{3k+2}{3^n}, 1 \right] \right)$$

Does Cantor ternary set contain set C? **Yes.**

Does set C contain Cantor ternary set?

Formula

Consider the following set:

$$C = \bigcap_{n=1}^{\infty} \bigcap_{k=0}^{3^{n-1}-1} \left(\left[0, \frac{3k+1}{3^n} \right] \cup \left[\frac{3k+2}{3^n}, 1 \right] \right)$$

Does Cantor ternary set contain set C? **Yes.**

Does set C contain Cantor ternary set? **Yes.**

Formula

Consider the following set:

$$C = \bigcap_{n=1}^{\infty} \bigcap_{k=0}^{3^{n-1}-1} \left(\left[0, \frac{3k+1}{3^n} \right] \cup \left[\frac{3k+2}{3^n}, 1 \right] \right)$$

Does Cantor ternary set contain set C? **Yes.**

Does set C contain Cantor ternary set? **Yes.**

Thus, set C is Cantor ternary set.

Formula

Consider the following set:

$$C = \bigcap_{n=1}^{\infty} \bigcap_{k=0}^{3^{n-1}-1} \left(\left[0, \frac{3k+1}{3^n} \right] \cup \left[\frac{3k+2}{3^n}, 1 \right] \right)$$

Does Cantor ternary set contain set C? **Yes.**

Does set C contain Cantor ternary set? **Yes.**

Thus, set C is Cantor ternary set.

Another explicit formula for Cantor set is

$$C = [0, 1] \setminus \bigcup_{n=1}^{\infty} \bigcup_{k=0}^{3^{n-1}-1} \left(\frac{3k+1}{3^n}, \frac{3k+2}{3^n} \right)$$

Properties and Proofs

Now we will prove some interesting properties of C .

Property 1

Let $x = 0.a_1a_2a_3\dots$ be the base 3 expansion of a number $x \in [0, 1]$. Then $x \in C$ iff $a_n \in \{0, 2\}$ for all $n \in \mathbb{N}$

Property 1

Let $x = 0.a_1a_2a_3\dots$ be the base 3 expansion of a number $x \in [0, 1]$. Then $x \in C$ iff $a_n \in \{0, 2\}$ for all $n \in \mathbb{N}$

Before we prove property 1, we first have a look at base 3 expansion of a number.

Fractions in base b

A fraction N in base b is represented in terms of the negative powers of b:

$$N = a_1 b^{-1} + a_2 b^{-2} + a_3 b^{-3} + \dots + a_n b^{-n} \quad (\forall i \in [0, n], a_i \in (0, b))$$

Fractions in base b

A fraction N in base b is represented in terms of the negative powers of b :

$$N = a_1 b^{-1} + a_2 b^{-2} + a_3 b^{-3} + \dots + a_n b^{-n} \quad (\forall i \in [0, n], a_i \in (0, b))$$

How do we convert $0.a_1 a_2 a_3 \dots_{10}$ to another base?

Fractions in base b

A fraction N in base b is represented in terms of the negative powers of b :

$$N = a_1 b^{-1} + a_2 b^{-2} + a_3 b^{-3} + \dots + a_n b^{-n} \quad (\forall i \in [0, n], a_i \in (0, b))$$

How do we convert $0.a_1 a_2 a_3 \dots_{10}$ to another base?

- (1) First, pick up the coefficients a_1, a_2, \dots, a_n .
- (2) Multiply $0.a_1 a_2 a_3 \dots_{10}$ by b .
- (3) The integer part of the result is a_1 .
- (4) If the remaining part is zero, stop.
- (5) Otherwise, let $m = 2$.
- (6) Multiply the remaining part by b .
- (7) The integer part of the result is a_m . If the remaining part is zero, stop.
- (8) Otherwise, let $m = m + 1$ and goto step (6).

Example:

Convert 0.375_{10} to base 2

$$2N = 2 \times 0.375 = 0.75 \longrightarrow a_1 = 0, r_1 = 0.75 - \lfloor 0.75 \rfloor = 0.75$$

$$2r_1 = 2 \times 0.75 = 1.5 \longrightarrow a_2 = 1, r_2 = 1.5 - \lfloor 1.5 \rfloor = 0.5$$

$$2r_2 = 2 \times 0.5 = 1 \longrightarrow a_3 = 1, r_3 = 1 - \lfloor 1 \rfloor = 0$$

Since $r_3 = 0$, we stop. $0.375_{10} = 0.011_2$

Proof for property 1

Proof for property 1

Let $x = 0.a_1 a_2 a_3 \dots$ be the base 3 expansion of a number $x \in [0, 1]$.

Proof for property 1

Let $x = 0.a_1 a_2 a_3 \dots$ be the base 3 expansion of a number $x \in [0, 1]$.

From the way to convert a fraction to another base, we see that each a_n corresponds to which third the number is in.

Proof for property 1

Let $x = 0.a_1a_2a_3\dots$ be the base 3 expansion of a number $x \in [0, 1]$.

From the way to convert a fraction to another base, we see that each a_n corresponds to which third the number is in.

For example, for the number 0.201_3 , 2 means that it is in the third third of $[0, 1]$, 0 means that it is in the first third of $\left[\frac{2}{3}, 1\right]$ (the third third of $[0, 1]$), and 1 means that it is in the second third of $\left[\frac{2}{3}, \frac{5}{9}\right]$ (the first third of $\left[\frac{2}{3}, 1\right]$).

Assume that there exists some $k \in \mathbb{N}$ such that $a_k = 1$, then x will be in the middle third of some interval whose middle third will be removed, which means that $x \notin C$

Assume that there exists some $k \in \mathbb{N}$ such that $a_k = 1$, then x will be in the middle third of some interval whose middle third will be removed, which means that $x \notin C$

On the other hand, by the definition of base 3 expansion, if $a_n \in \{0, 2\}$ for all $n \in \mathbb{N}$, x will never be in the middle third of any interval whose middle third will be removed. Thus, $x \in C$.

Property 2

The Cantor set is uncountable.

Property 2

The Cantor set is uncountable.

To prove property 2, we need to use the concept of surjective function and cardinality.

Property 2

The Cantor set is uncountable.

To prove property 2, we need to use the concept of surjective function and cardinality.

Before we prove property 2, we first have a look at surjective function and cardinality.

Surjective Function and Cardinality

Definition: a function f with domain X and codomain Y is surjective if for every y in Y there exists at least one x in X such that $f(x) = y$.

Surjective Function and Cardinality

Definition: a function f with domain X and codomain Y is surjective if for every y in Y there exists at least one x in X such that $f(x) = y$.

The **cardinality** of the domain of a surjective function is greater than or equal to the cardinality of its codomain, that is, if $f : X \rightarrow Y$ is a surjective function, then X has at least as many elements as Y .

Proof for property 2

Proof for property 2

To show that the Cantor set is uncountable, we need to construct a function f from the Cantor set C to the closed interval $[0,1]$ that is surjective.

Proof for property 2

To show that the Cantor set is uncountable, we need to construct a function f from the Cantor set C to the closed interval $[0,1]$ that is surjective.

Consider the point in C in terms of base 3.

Proof for property 2

To show that the Cantor set is uncountable, we need to construct a function f from the Cantor set C to the closed interval $[0, 1]$ that is surjective.

Consider the point in C in terms of base 3.

From property 1, we have that for any $x = 0.a_1a_2\dots_3 \in [0, 1]$,
 $x \in C$ iff $a_n \in \{0, 2\}$ for all $n \in \mathbb{N}$

Then we construct a function $f : C \rightarrow [0, 1]$ which replaces all the 2s by 1s, and interprets the sequence as a binary representation of a real number. In a formula,

$$f \left(\sum_{k=1}^{\infty} a_k 3^{-k} \right) = \sum_{k=1}^{\infty} \frac{a_k 2^{-k}}{2}$$

Then we construct a function $f : C \rightarrow [0, 1]$ which replaces all the 2s by 1s, and interprets the sequence as a binary representation of a real number. In a formula,

$$f \left(\sum_{k=1}^{\infty} a_k 3^{-k} \right) = \sum_{k=1}^{\infty} \frac{a_k 2^{-k}}{2}$$

For any number y in $[0, 1]$, its binary representation can be translated into a ternary representation of a number x in C by replacing all the 1s by 2s, so the range of f is $[0, 1]$. Thus, the cardinality of C is greater than or equal to the cardinality of $[0, 1]$, which means that C is uncountable.

Property 3

The Cantor set has a length of zero, which means that it has no intervals.

Proof for property 3

Proof for property 3

We will prove C has a length of zero by showing that the length of the complement of C relative to $[0, 1]$ is 1.

Proof for property 3

We will prove C has a length of zero by showing that the length of the complement of C relative to $[0, 1]$ is 1.

From the construction of C , we see that at the n^{th} step, we are removing 2^{n-1} intervals, all of which are of length $\frac{1}{3^n}$.

Proof for property 3

We will prove C has a length of zero by showing that the length of the complement of C relative to $[0, 1]$ is 1.

From the construction of C , we see that at the n^{th} step, we are removing 2^{n-1} intervals, all of which are of length $\frac{1}{3^n}$.

The sum of the length of all intervals removed is

$$\sum_{n=1}^{\infty} 2^{n-1} \left(\frac{1}{3^n} \right) = \frac{1}{3} \sum_{n=0}^{\infty} \frac{2^n}{3} = \frac{1}{3} \left(\frac{1}{1 - \frac{2}{3}} \right) = 1$$

Proof for property 3

We will prove C has a length of zero by showing that the length of the complement of C relative to $[0, 1]$ is 1.

From the construction of C , we see that at the n^{th} step, we are removing 2^{n-1} intervals, all of which are of length $\frac{1}{3^n}$.

The sum of the length of all intervals removed is

$$\sum_{n=1}^{\infty} 2^{n-1} \left(\frac{1}{3^n} \right) = \frac{1}{3} \sum_{n=0}^{\infty} \frac{2^n}{3} = \frac{1}{3} \left(\frac{1}{1 - \frac{2}{3}} \right) = 1$$

Thus, the length of the complement of C relative to $[0, 1]$ is 1, which means that C has a length of zero.

Thank you

Thank you all for listening to my presentation.

Questions?