Graph Colorings

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Lecture 5: Ramsey Theory

Week 1 of 1

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**Question 1** Amongst any collection of 6 people, can you always find three mutual friends or three mutual strangers?

**Solution.** In the language of graph theory, our question is the following: if you (possibly improperly) color the edges of  $K_6$  red and blue, do you always have to create a monochrome triangle?

We claim that you will always do so. To see why: pick any red-blue coloring of  $K_6$ , and any vertex  $v \in K_6$ . There must be three edges leaving v of one of our two colors, as deg(v) = 5; suppose without loss of generality that those three edges are red. Let  $\{w_1, w_2, w_3\}$  be the endpoints of these edges.

Then, there are two cases:

- There is some edge  $\{w_i, w_j\}$  that's red. In this case, the vertices  $v, w_i, w_j$  form a red triangle.
- Every edge  $\{w_i, w_j\}$  is blue. In this case, the vertices  $w_1, w_2, w_3$  form a blue triangle.

A natural generalization of the above question is the following:

**Question 2** Let  $C = \{1, ..., c\}$  be some collection of colors, and  $n_1, ..., n_c$  integers. Is there always some value of n such that if  $K_n$  is colored with c colors, then  $K_n$  necessarily contains a *i*-monochrome  $K_{n_i}$ , for some  $1 \le i \le c$ ?

**Theorem 3** (Ramsey's Theorem) The answer to this question is yes!

**Proof.** Let  $R(n_1, \ldots, n_c)$  denote the smallest value of n such that if  $K_n$  is colored with c colors, then  $K_n$  necessarily contains a *i*-monochrome  $K_{n_i}$ . We seek to show that R is well-defined.

To do this, we proceed by induction on the number of colors  $C = \{1, \ldots, c\}$ . When c = 1, note that this is trivial, as R(k) = k for all k.

Now, consider the two-color case. We trivially have R(n,1) = R(1,n) = 1 and R(n,2) = R(2,n) = n. Furthermore, we claim that we have the following recursive bound on the growth of R(r,s):

$$R(r,s) \le R(r,s-1) + R(r-1,s).$$

To see why: take a complete graph K on (R(r, s - 1) + R(r - 1, s)) many vertices, and color its edges red and blue (or 1 and 2, if you prefer integers). Pick any  $v \in K$ , and partition the rest of K's vertices into two sets:

• B', which contains all of the vertices in K connected to v by a blue edge, and

• R', which contains all of the vertices in K connected to v by a red edge.

Let B and R be the subgraphs of K induced by these vertices, respectively. Because K has

$$R(r, s - 1) + R(r - 1, s) = |B| + |R| + 1$$

many vertices, either  $|B| \ge R(r, s - 1)$  or  $|R| \ge R(r - 1, s)$ . If the former, then induction on the values of r and s tells us that we either have

- a blue  $K_s$  inside of B, or
- a red  $K_{r-1}$  inside of R, in which case we have that there's a red  $K_r$  inside of  $v \cup R$ ;

consequently, we're done! (Analogous reasoning applies to the case  $|R| \ge R(r-1,s)$ .)

So: now suppose that we've settled our theorem for c-1 colors. We seek to resolve it for c colors. Specifically, we make the following claim:

 $R(n_1, \dots n_c) \leq R(n_1, \dots n_{c-2}, (R(n_{c-1}, R_c))).$ 

To see this: let K be the complete graph on  $R(n_1, \ldots n_{c-2}, (R(n_{c-1}, R_c)))$  many vertices, and color K's vertices with c different colors.

Now: become selectively colorblind! In other words, pretend temporarily that c-1 and c have the same colors.

Then, by our inductive hypothesis, either

- there is an *i*-monochrome  $K_{n_i}$ , for  $1 \le i \le c-2$ , or
- there is a (c&c-1)-colored  $K_{R(n_{c-1},n_c)}$ . By the definition of  $R(n_{c-1},n_c)$ , this means that there's either a (c-1)-monochrome  $K_{n_{c-1}}$ , or a *c*-monochrome  $K_{n_c}$ .

So we're done!

In the language of the proof above, the opening question for this lecture can be thought of as showing R(3,3) = 6.

To illustrate a few ideas that go into finding a Ramsey number, and to maybe illustrate some of the difficulty of finding such numbers, consider the following question:

Question 4 What's  $K_{3,4}$ ?

**Solution.** Pick n such that for any red-blue coloring of  $K_n$ , we have neither a blue  $K_3$  nor a red  $K_4$ . Pick any  $x \in K_n$ , and again let

- B be the subgraph induced by the set of vertices in  $K_n$  connected to v by a blue edge, and
- R be the subgraph induced by the set in  $K_n$  connected to v by a red edge.

If there is a blue edge in B, then  $x \cup B$  will yield a blue  $K_3$ ; similarly, if there is a red  $K_3$ in  $R, x \cup R$  yields a red  $K_4$ . Because R(2,4) = 4 and R(3,3) = 6, we have that if neither situation occurs, we must have  $|B| \leq 3$  and  $|R| \leq 5$ . In other words, we've just shown that for any vertex  $x \in K_n$ , we have  $deg_b(x) \leq 3$  and  $deg_r(x) \leq 5$ . Consequently, the total degree of x must be  $\leq 8$ ; i.e.  $n \leq 9$ , and thus  $R(3,4) \leq 10$ .

Consider the case n = 9. In this case, each x must have  $deg_b(x) = 3$  and  $deg_r(x) = 5$ ; consequently, the number of blue edges in  $K_n$  can be counted, via the degree-sum formula, to be  $\frac{1}{2} \sum_{x \in K_n} deg_b(x) = 27/2 = 13.5$ . Since we can't have half of a blue edge, this is also impossible! So  $R(3, 4) \leq 9$ .

Conversely: consider the following drawing below. The solid edges form a graph with girth  $\geq 4$ , and so do not contain a  $K_3$ . As well, picking any four points on the boundary of a 8-cycle necessarily involves picking two opposite points or two adjacent points; so there is no complete  $K_4$  amongst 4 points within the dashed edges.



Thus, R(3,4) > 8; i.e. R(3,4) = 9.